

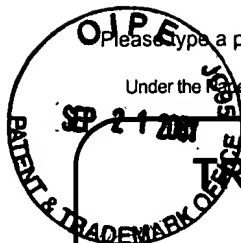
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/941,399	
	Filing Date	August 28, 2001 <i>IDS 4/1/02</i>	
	First Named Inventor	Takatori, Yasuyoshi	
	Group Art Unit	Unassigned	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	1	Attorney Docket Number	080974

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Return Receipt Postcard
Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm and Individual name	Townsend and Townsend and Crew LLP Kenneth R. Allen Reg No. 27,301	
Signature	<i>Kenneth R. Allen</i>	
Date	September 17, 2001	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 17, 2001		
Typed or printed name	Diane Hawley	
Signature	<i>Diane Hawley</i>	Date September 17, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

YASUYOSHI TAKATORI et al.

Application No.: 09/941,399

Filed: August 28, 2001

For: ADAPTIVE ANTENNA
CONTROL METHOD AND ADAPTIVE
ANTENNA TRANSMISSION/
RECEPTION CHARACTERISTIC
CONTROL METHOD

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT
UNDER 37 CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-

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Application No.: 09/941,399
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PATENT

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Respectfully submitted,

A handwritten signature in cursive script that reads "Kenneth R. Allen".

Kenneth R. Allen
Reg. No. 27,301

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FORM PTO-1449 (Modified)		Attorney Docket No.: 080974		Application No.: 09/941,399	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: YASUYOSHI TAKATORI et al.			
		Filing Date: August 28, 2001		Group: Unassigned	
Reference Designation		U.S. PATENT DOCUMENTS			Page 1 of 1
Examiner Initial	Document No.	Date	Name	Class	Filing Date (If Appropriate)
___ AA					
___ AB					
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FOREIGN PATENT DOCUMENTS					
	Document No.	Date	Country	Class	Translation (Yes/No)
___ AM	7-066768	03/13/95	japan		Yes
___ AN					
___ AO					
___ AP					
___ AQ					
___ AR					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
___ AS	"TDMA Communications; 2.2.2. CDMA," pp 12-14, April 5, 1989				
___ AT	"Fundamentals of Mobile Communications," pp 195-196, October 1, 1986				
___ AU					
___ AV					
___ AW					
EXAMINER			DATE CONSIDERED		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.